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8045-1008

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tatsuya UCHIKATA

Confirmation No. 2849

Serial No. 09/813,960

Group 2161

Filed March 22, 2001

Examiner Unassigned

ELECTRONIC TRANSACTION METHOD AND APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed Form PTO-1449.

A concise explanation of the relevance of these items is that these references were cited by the Japanese Patent Office in the corresponding Japanese Application Serial No. 2001-004904, filed January 12, 2001. A copy of the Japanese Official Action in which they were cited is attached hereto, with what is believed to be the pertinent portion enclosed in a wavy line. An English translation of the enclosed portion is also attached hereto.

Under the provisions of 37 CFR 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a

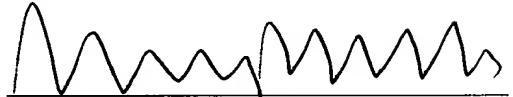
S.N. 09/813,960

counterpart foreign application not more than three months prior to the filing of this Statement.

Respectfully submitted,

YOUNG & THOMPSON

By

A handwritten signature in black ink, appearing to read 'Robert J. Patch', written over a horizontal line.

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December 9, 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
8045-1008

SERIAL NO.
09/813,960

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets, if necessary)

37 CFR 1.98(b)

APPLICANT
Tatsuya UCHIKATA

FILING DATE
March 22, 2001

GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
AI	A 10-222574	08/98	JP			
AJ	A 11-86101	03/99	JP			
AK	A 2002- 524797	08/02	JP			
AL	A 2000- 503790	03/00	JP			
AM						
AN						
AO						
AP						
AQ						

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AT	
AU	
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EXAMINER

DATE CONSIDERED

**EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.**

Form Y&T (3/94)

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